

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

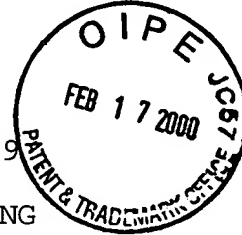
WARREN M. FARNWORTH
ALAN G. WOOD

Serial No.: 09/420,086

Filing Date: OCTOBER 18, 1999

Title: METHOD FOR FABRICATING
SEMICONDUCTOR COMPONENTS

Attorney Docket No.: 98-0105.1



ART UNIT: 2811

EXAMINER:

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §1.97
PRIOR TO THE FIRST OFFICE ACTION ON THE MERITS**

February 14, 2000

Assistant Commissioner of Patents
BOX AMENDMENT (NON FEE)
Washington, D.C. 20231

Dear Sir:

The Information Disclosure Statement transmitted herewith is being filed within 3 months of filing or before the first Office Action on the merits, whichever is later.

A duplicate of this transmittal is attached. If any fees are due, please charge the account of 07-1857.

Respectfully submitted:

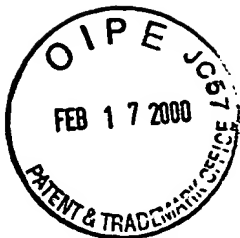
Stephen A. Gratton
Registration No. 28,418
Attorney for Applicants

2764 South Braun Way
Lakewood, CO 80228
Telephone: (303) 989 6353
Fax: (303) 989 6538

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CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to: Assistant Commissioner of Patents, BOX AMENDMENT (NON FEE), Washington, D.C. 20231 on this 14th day of February, 2000.

February 14, 2000
Date of Signature

Stephen A. Gratton
Attorney for Applicants

GP2811



Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (6-98)
Approved for use through 09/30/2000. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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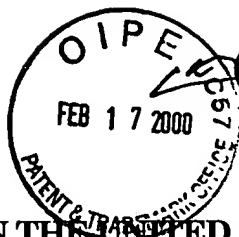
<h1>TRANSMITTAL FORM</h1> <p>(to be used for all correspondence after initial filing)</p>	Applicati n Number	09/420,086
	Filing Date	October 18, 1999
	First Named Inventor	FARNWORTH et al.
	Group Art Unit	2811
	Examiner Name	
Total Number of Pages in This Submission	Attorney Docket Number	98-0105.1

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below) <div style="border: 1px solid black; padding: 5px; margin-top: 5px;"> Return receipt postcard </div>
<div style="display: flex; justify-content: space-between;"> <div style="border: 1px solid black; padding: 2px;">Remarks</div> <div style="text-align: right;"> <p>RECEIVED</p> <p>FEB 18 2000</p> <p>TECHNOLOGY CENTER 2800</p> </div> </div>		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Stephen A. Gratton THE LAW OFFICE OF STEPHEN A. GRATTON
Signature	<i>Stephen A. Gratton</i>
Date	February 14, 2000

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 2/14/00			
Typed or printed name	Stephen A. Gratton		
Signature	<i>Stephen A. Gratton</i>	Date	2/14/00

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



#5
12-13-00
HALLMAN

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ALAN G. WOOD

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Sir:

The following sections are being submitted for this
Information Disclosure Statement:

1. x Preliminary Statements.
2. x FORM PTO - 1449.
3. Statement As to Information Material to
Examination Not Found in Patents or Publications.
4. Identification of Prior Application in Which Listed
Information Was Already Cited and For Which No Copies
are Submitted Or Need be Submitted.
5. Cumulative Patents or Publications.
6. x Copies of Listed Information Items Accompanying
This Statement.
7. Concise Explanation of Non-English Language
Listed Information Items.
8. Translation(s) of Non-English Language Documents.
9. x Identification of Person(s) Making This
Information Disclosure Statement.

Section 1. Preliminary Statements

Applicant(s) submit herewith patents, publications or other information of which they are aware which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. §1.56.

The filing of this information disclosure statement shall not be construed as a representation that a search has made (37 C.F.R. 1.56 (g)), an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

Section 2. Identification of Person(s) Making This INFORMATION DISCLOSURE STATEMENT

The person making this statement is

- (a) _____ the inventor(s) who signs below

SIGNATURE OF INVENTOR

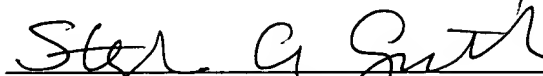
Name of Inventor

- (b) x the attorney who signs below on the basis of:

- _____ the information supplied by the inventor(s)
_____ an individual associated with the filing and
prosecution of this application (37. CFR 1.56(c))
 x the information in the attorney's file.

DATED this 14th day of February, 2000.

Respectfully submitted:



Stephen A. Gratton
Registration No. 28,418
Attorney for Applicants

2764 South Braun Way
Lakewood, CO 80228
Telephone: (303) 989 6353
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Stephen A. Gratton, Attorney for Applicants